

10-02-00
09/28/00
US PTO

Please type a plus sign (+) inside this box

+

PTO/SB/05 (2/98)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	042390.P9573
First Inventor or Application Identifier	Victor Konrad
Title	A METHOD TO REDUCE THE POWER CONSUMPTION OF LARGE PLAS BY
Express Mail Label No.	EM014066205US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- | | |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing) | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification Total Pages 24
(preferred arrangement set forth below) | 6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| - Descriptive title of the Invention | a. <input type="checkbox"/> Computer Readable Copy |
| - Cross References to Related Applications | b. <input type="checkbox"/> Paper Copy (identical to computer copy) |
| - Statement Regarding Fed sponsored R & D | c. <input type="checkbox"/> Statement verifying identity of above copies |
| - Reference to Microfiche Appendix | |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (if filed) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 7 | 7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. Oath or Declaration Total Pages | 8. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney
(when there is an assignee) |
| a. <input type="checkbox"/> Newly executed (original copy) | 9. <input type="checkbox"/> English Translation Document (if applicable) |
| b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 16 completed) | 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO - 1449 <input type="checkbox"/> Copies of IDS Citations |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u>
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b). | 11. <input type="checkbox"/> Preliminary Amendment |
| | 12. <input type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized) |
| | 13. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application,
Statement(s) <input type="checkbox"/> Status still proper and desired |
| | 14. <input type="checkbox"/> Certified Copy of Priority Document(s)
(if foreign priority is claimed) |
| | 15. <input type="checkbox"/> Other: |

***NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application Information: Examiner _____ Group/Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bare code label here)		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP		
Address	12400 Wilshire Boulevard, Seventh Floor		
City	Los Angeles	State	California
Country	U.S.A.	Telephone	(310) 207-3800
Zip Code	90025		
Fax	(310) 820-5988		

Name (Print/Type)	Eric S. Hyman, Reg. No. 30,139
-------------------	--------------------------------

Signature	<i>Eric S. Hyman</i>	Date	09/28/00
-----------	----------------------	------	----------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.
 These are the fees effective October 1, 1997.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.28 and 1.28

TOTAL AMOUNT OF PAYMENT (\$ 952.00)

Complete if Known

Application Number	
Filing Date	09/28/00
First Named Inventor	Victor Konrad, et al.
Examiner Name	
Group Art Unit	
Attorney Docket Number	042390.P9573

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account **02-2666**
 Number

Deposit Account **Blakely, Sokoloff, Taylor & Zafman LLP**
 Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance.

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (fees effective 10/01/96)**1. FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	\$690
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	
SUBTOTAL (1)		(\$) 690.00	

2. EXTRA CLAIM FEES

Total Claims	-20** =	8	X \$18.00 =	144.00
Independent Claims	4 -3** =	1	X \$78.00 =	78.00
Multiple Dependent			=	

*or number of previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	270	204 135 Multiple Dependent claim
109	78	209 39 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$) 222.00

3. ADDITIONAL FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for reexamination	
112	920	112 920 Requesting publication of SIR prior to Examiner action	
113	1,840	113 1,840 Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	380	216 190 Extension for response within second month	
117	870	217 435 Extension for response within third month	
118	1,360	218 680 Extension for response within fourth month	
128	1,850	228 925 Extension for response within fifth month	
119	300	219 150 Notice of Appeal	
120	300	220 150 Filing a brief in support of an appeal	
121	260	221 130 Request for oral hearing	
138	1,360	138 1,360 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidably	
141	1,210	241 605 Petition to revive - unintentionally	
142	1,210	242 605 Utility issue fee (or reissue)	
143	430	243 215 Design issue fee	
144	580	244 290 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	760	246 380 Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249 380 For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) <u>Recordation of Assignment</u>			40.00
Other fee (specify) _____			

• Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)** 40.00**SUBMITTED BY**

Complete (if applicable)

Typed or Printed Name

Eric S. Hyman, Reg. No. 30,139

Reg. Number

Signature

Date

09/28/00

Deposit Account User ID

02-2666

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.